

# Embryo ploidy status classification through computer-assisted morphology assessment

*by* Gunawan Bondan Danardono

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# Embryo ploidy status classification through computer-assisted morphology assessment



Gunawan Bondan Danardono, SKom, BEng; Nining Handayani, DVM, MBIomed; Claudio Michael Louis, SKom, BEng; Arie Adrianus Polim, MD, SpOG, DMAS, MBHRE; Batara Sirait, SpOG; Gusti Periastringrum, SSI; Szeifoul Afadlal, PhD; Arief Boediono, DVM; Ivan Sini, MD, FRANZOG, GDRM, MMIS, SpOG

**BACKGROUND:** Preimplantation genetic testing for aneuploidy has been proven to be effective in determining the embryo's chromosomal or ploidy status. The test requires biopsy of embryonic cells on day 3, 5, or 6 from which complete information on the chromosomes would be obtained. The main drawbacks of preimplantation genetic testing for aneuploidy include its relatively invasive approach and the lack of research studies on the long-term effects of preimplantation genetic testing for aneuploidy.

**OBJECTIVE:** Computer-assisted predictive modeling through machine learning and deep learning algorithms has been proposed to minimize the use of invasive preimplantation genetic testing for aneuploidy. The capability to predict morphologic characteristics of embryo ploidy status creates a meaningful support system for decision-making before further treatment.

**STUDY DESIGN:** Image processing is a component in developing a predictive model specialized in image classification through which a model is able to differentiate images based on unique features. Image processing is obtained through image augmentation to capture segmented embryos and perform feature extraction. Furthermore, multiple machine learning and deep learning algorithms were used to create prediction-based modeling, and all of the prediction models undergo similar model performance assessments to determine the best model prediction algorithm.

**RESULTS:** An efficient artificial intelligence model that can predict embryo ploidy status was developed using image processing through a histogram of oriented gradient and then followed by principal component analysis. The gradient boosting algorithm showed an advantage against other algorithms and yielded an accuracy of 0.74, an aneuploid precision of 0.83, and an aneuploid predictive value (recall) of 0.84.

**CONCLUSION:** This research study proved that machine-assisted technology perceives the embryo differently than human observation and determined that further research on in vitro fertilization is needed. The study finding serves as a basis for developing a better computer-assisted prediction model.

**Key words:** artificial intelligence, image processing, in vitro fertilization, noninvasive embryo assessment, preimplantation genetic testing for aneuploid, ploidy status, prediction model

## Introduction

Infertility is a disease characterized by the inability of couples to conceive

despite 1 year of regular sexual intercourse without contraception. As part of assisted reproduction technology, in

vitro fertilization (IVF) has become an effective solution for infertility. During IVF, patients will undergo a single-

From the IRSI Research and Training Centre, Jakarta, Indonesia (Mr Danardono, Ms Handayani, Mr Louis, Drs Polim and Sirait, Ms Periastringrum, and Mr Afadlal, Drs Boediono, and Sini); Morula IVF Jakarta Clinic, Jakarta, Indonesia (Drs Polim and Sirait, Ms Periastringrum, and Mr Afadlal, Drs Boediono, and Sini); Faculty of Medicine, Department of Obstetrics and Gynaecology, Universitas Kristen Indonesia, Jakarta, Indonesia (Dr Sirait); Department of Obstetrics and Gynecology, School of Medicine and Health Sciences, Atma Jaya Catholic University of Indonesia, Jakarta, Indonesia (Dr Polim); Department of Anatomy, Physiology, and Pharmacology, Bogor Agricultural Institute University, Bogor, Indonesia (Dr Boediono)

The authors report no conflict of interest.

Data are available upon reasonable request.

This study was conducted according to the guidelines of the Declaration of Helsinki of 1975, as amended. The local research ethics committee in the Faculty of Medicine, Universitas Indonesia, Jakarta, Indonesia, has approved the study protocol (identification number: KET-572/UN2.F1/ETIK/PPM.00.02/2021).

Because of the nature of a retrospective study, a waiver of informed consent was granted by the ethics committee of the Faculty of Medicine, University of Indonesia, Jakarta, Indonesia, on March 1, 2021 (identification number: KET-572/UN2.F1/ETIK/PPM.00.02/2021).

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Corresponding author: Gunawan Bondan Danardono, SKom, BEng. [gbdanardono@gmail.com](mailto:gbdanardono@gmail.com)

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## AJOG MFM at a Glance

### Why was this study conducted?

Because of the lack of studies on preimplantation genetic testing for aneuploid (PGT-A) treatment, an alternative to the invasive PGT-A method is needed.

### Key findings

Using computer-assisted technology to assess embryo ploidy status is doable; however, there is a limitation to the quantity of datasets being used. Nevertheless, computer-assisted technology can be adopted for decision support of a non-invasive PGT-A.

### What does this add to what is known?

Computer-assisted technology for the assessment of ploidy status has been developed; however, computer-generated prediction is not dependable, and medical personnel is still required to assess the results.

multiple-embryo transfer and a preimplantation embryo selection, which is a critical part of this process.

Embryo selection based on ploidy status has been proven to be effective in reducing the risk of miscarriage while increasing the implantation rate.<sup>1,2</sup> Ploidy status<sup>25</sup> assessed through preimplantation genetic testing for aneuploidy (PGT-A), which accurately specifies the chromosome number in biopsied embryonic cells.<sup>3</sup> However, embryo biopsy holds unknown risks on the safety of embryo development before and after implantation,<sup>4,5</sup> and studies are limited to support the normalcy of PGT-A-assessed embryos at birth or early childhood.<sup>6,7</sup>

Noninvasive methods, such as image processing, could be used to differentiate morphologic differences. Machine learning (ML) and deep learning (DL), parts of artificial intelligence (AI), could overcome the limitations of conventional human observation<sup>3,8</sup> to predict the ploidy status of embryos based on morphologic differences. ML and DL have been successful in classifying images according to various medical image domains, such as blastocyst morphologic quality,<sup>9,10</sup> embryo development stages,<sup>11,12</sup> and implantation potential.<sup>13</sup>

## Materials and Methods

### Dataset

Data sources were obtained from the Morula IVF Jakarta Clinic, Jakarta, Indonesia, using 2 separate extraction

methods: static image extraction from time-lapse videos recorded through a closed incubator system (MIRI time-lapse incubators) (37°C, 6% CO<sub>2</sub>, and 5% O<sub>2</sub>) and direct image extraction captured using an inverted microscope (Olympus IX71 or Nikon Eclipse Ti, Japan). By combining 483 couples, we were able to obtain 1123 embryo samples. The ploidy status of all embryo samples was determined through PGT-A, with baseline and clinical characteristics shown in Supplemental Table.

Image reduction was performed to the dataset, consisting of images in which the embryos were not fully captured, images containing additional objects attached to the embryo (eg, holding or biopsy pipette), and unclear images. This ML approach was performed through supervised learning, with known embryo ploidy classifications, such as euploid, aneuploid, or mosaic. This research was split into 2 case studies, with case study 1 employing 3 classifications—euploid, aneuploid, and mosaic—and case study 2 using binary classification. In binary classification, aneuploid and mosaic embryos were both categorized into 1 classification<sup>14</sup> against the euploid classification. This research did not use image duplicates or multiplication; hence, each image was unique. Figure 1 shows the data distribution for each class. A total of 65 blastocyst images were used. The dataset was divided into training and testing sets with an 80:20 split. Separate training and testing

datasets would produce unbiased results, especially in cases with limited datasets.<sup>15,16</sup>

### Preimplantation genetic testing for aneuploid laboratory protocol

Embryo biopsy was performed as previously described in Polim et al's<sup>17</sup> study. Briefly, a trophoctoderm biopsy was performed on day 5 or 6, depending on the embryologist's assessment regarding the blastocyst quality. Of note, 3 to 5 cells of Trophoctoderm (TE) were biopsied and transferred into sterile microcentrifuge tube containing phosphate-buffered saline solution (Cell Signaling Technologies, Danvers, MA), which was supplemented with 1% polyvinylpyrrolidone (Origio). The samples were stored at -20°C before further use of genetic analysis. Whole-genome amplification was performed using the SurePlex DNA Amplification System (Illumina, San Diego, CA). The Veriseq PGS-MiSeq kit (Illumina, San Diego, CA) was used for the Next-generation sequencing (NGS) procedure, and the MiSeq sequencer (Illumina, San Diego, CA) was used for sequencing. Data interpretation used the BlueFuse Multi Software (version 4.5; Illumina, San Diego, CA; 32178). The threshold for calling mosaic was a 30% to 80% mixture of euploid and aneuploid cells (<30% was euploid, and >80% was aneuploid).

### Methods

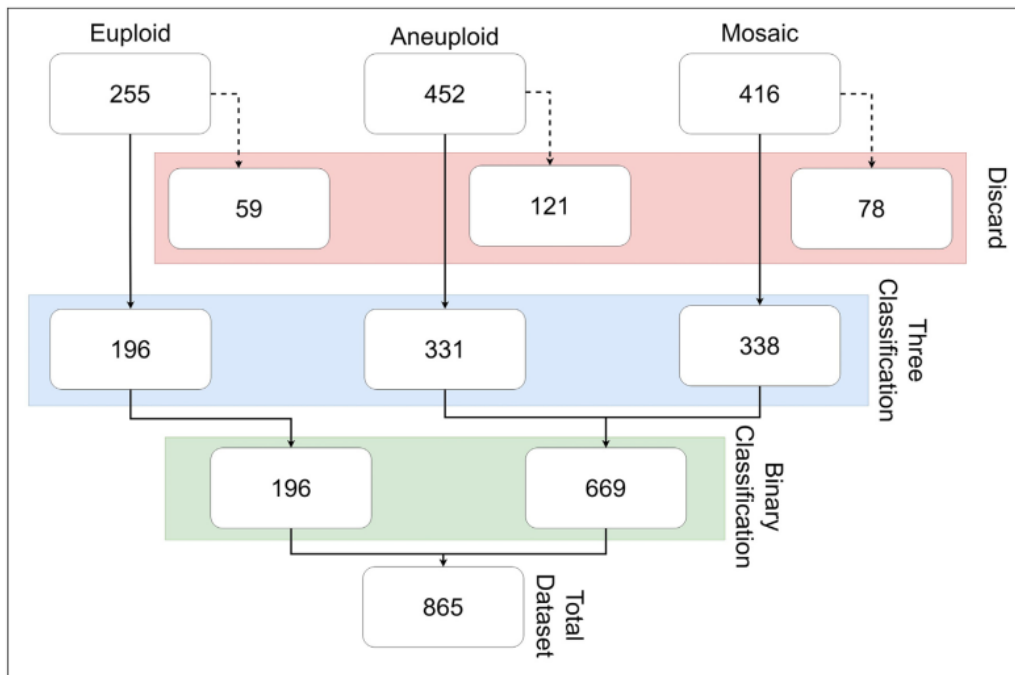
In developing the prediction model, performing feature extraction to obtain a unique differentiator in each classification became a significant step. Figure 2 illustrates the step-by-step approach to developing the AI model.

### Image preprocessing

Image preprocessing was proposed to be beneficial toward AI model performance, reduce the possibility of any interference from the image background, and capture important information about an image object.<sup>18</sup>

Image segmentation was performed to minimize noise and dark fields surrounding the embryo and remove any interfering objects. This procedure was proposed to improve morphologic analysis and to reduce the possibility of

**FIGURE 1**  
Data distribution



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misclassification because of interfering objects.<sup>19</sup> Figure 3 shows a detailed process of image augmentation.

1. Original data: A copy image of an embryo was generated. During the augmentation process, both the original and copy images were used. The copy image was used for the augmentation process and to make changes to complement the following process while still keeping the original image for further use.
2. Strip alpha channel: The alpha channel or transparency density should be normalized for all images. Maximizing the value of the alpha channel would eliminate the pixel transparency differences within the image.
3. Image blurring: Image blurring was used to eliminate any pixel degradation.
4. Color enhancement: The foreground (image object) and background were

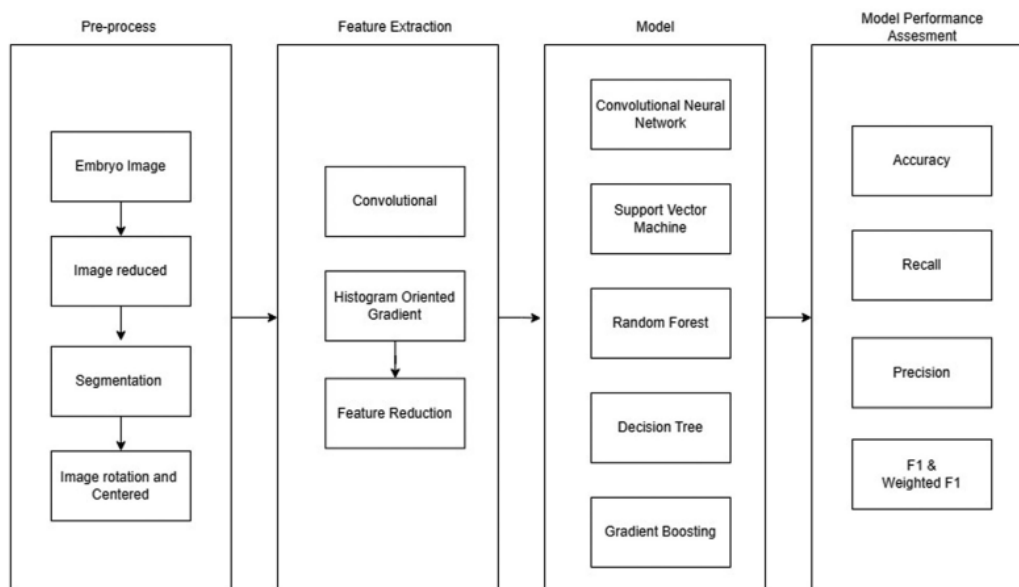
- distinguished through color enhancement to intensify color differences. This approach was achieved through a color balance algorithm,<sup>20</sup> in which a high-contrast color balance was applied.
5. Gray scale: The colored image was converted to gray scales to determine the image threshold.
6. Image blurring: The second stage of image blurring was performed to complement pixel degradation after conversion to gray scale.
7. Thresholding: Thresholding was performed to produce binary images and differentiate the image foreground from the image background.<sup>21,22</sup>
8. Masking and padding: Masking and padding were applied to the original image with the threshold image as the mask parameter, which would be used to segment the embryo images.<sup>23</sup>
9. Image to center: Before finding the image centroid and extreme vertical

and horizontal alignment, moving the embryo object into the center of the canvas or frame is important.

10. Detect centroid and extreme coordinate: Detect centroid and extreme coordinate determine the coordinates of the center-weighted embryo: most outer left, right, top, and bottom of the embryo.
11. Calculate embryo orientation: Calculating the embryo orientation uses a slope function to determine the vertical and horizontal gradients, establishing embryo orientation and the rotational degree needed to align the embryo.

$$\text{slope} = \frac{y_2 - y_1}{x_2 - x_1} \quad (1)$$

12. Rotate image and center image: Rotate image and center image apply the rotational degree obtained from the image orientation calculation with the image centroid as the center point and reapply the image to the center step to designate the embryo at the middle of the frame.

**FIGURE 2**  
**Research workflow**

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### Feature extraction

**Convolutional feature extraction.** Convolutional feature extraction adopted the convolutional neural network (CNN) model architecture. It has a similar approach to the DL method.<sup>24,25</sup> Adjustment was made before the output layer to foresee the possibility of performing the classification with ML algorithms. This method used a convolutional function; therefore, feature transformation holds similar characteristics to CNN images. The advantage of convolution feature extraction is its ability to map spatial and temporal correlations in image data.<sup>26</sup> This approach enables us to use pretrained model architecture to produce image features. Here, VGG19,<sup>27</sup> DenseNet,<sup>28</sup> and ResNet<sup>29</sup> pretrained models were used.

**Histogram of oriented gradient and feature reduction.** Images were converted into dimensional arrays and then became image feature descriptors, with each array cell represented by pixel values. Using the histogram of oriented gradient (HOG) algorithm, the grayscale image was denoted as a 2-

dimensional array. The HOG works by subsequently dividing the dimensional array into small spatial regions and then producing pixel orientation toward its surrounding pixels.<sup>30,31</sup> The combination of pixel orientation for each region will produce an image that will show features of an image in a gradient field perspective.

The massive number of features extracted from an image could lead to an overwhelming process of information. Feature reduction is a method to merge multiple variables into smaller numbers of variables. Principal component analysis (PCA) is a variable reduction process through dimensionality reduction to extract the most important information from the image or features and compress the size of the image without losing significant information about the features.<sup>32,33</sup>

### Model prediction

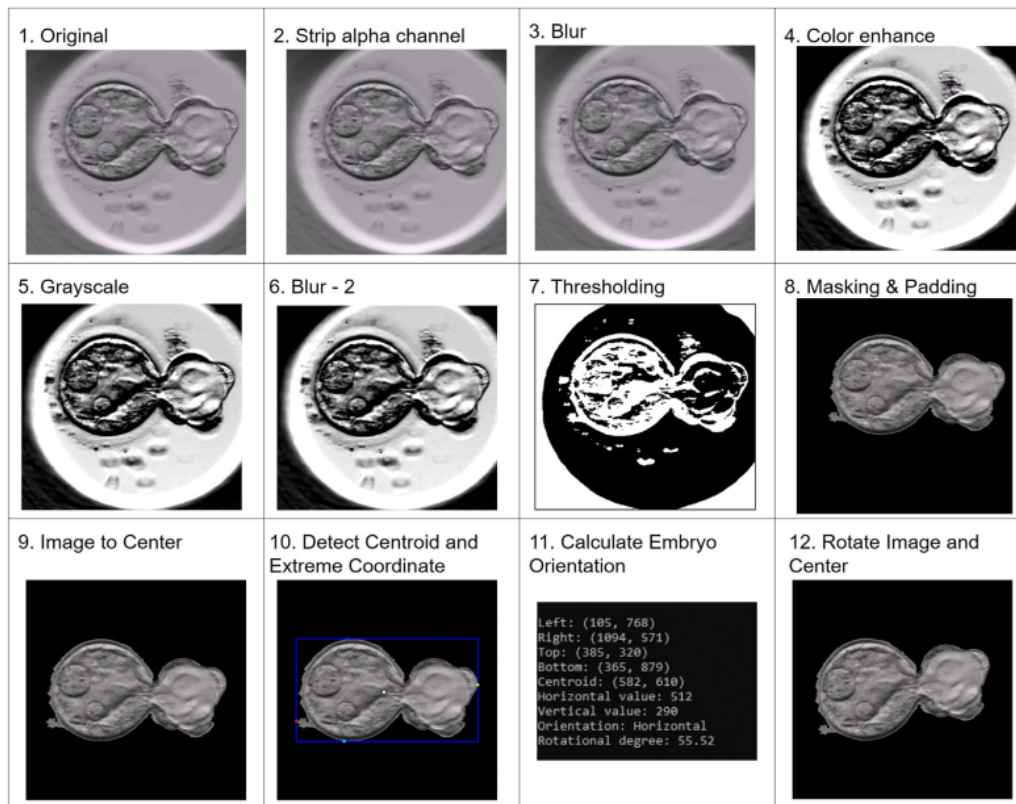
This study adopted multiple AI approaches and assessed the performance of each model, ultimately identifying the model with the most optimal prediction results. Although CNN is considered a state-of-the-art prediction

model, it has a disadvantage because it requires a massive dataset to achieve appropriate outcomes. In contrast, the earlier generations of ML techniques were more suited to a lower amount of datasets. Thus, the size of the available dataset is crucial in determining the optimal approach. This study deployed 5 ML algorithms and 1 DL approach. Each approach had different conditional input-output requirements yet served similar purposes nonetheless.

**Convolutional neural network.** A DL approach for a prediction model consists of a multilayer perceptron in which each layer produces mathematical calculations concerning the final classification outcome. The CNN model was specifically developed for image classification. The convolutional layer within the model contributed to image feature extraction for the CNN model.

**Decision tree.** A decision tree (DT) is a supervised ML approach used to develop a prediction model based on a derivative function. A DT serves as a rule-based predictor that uses a

**FIGURE 3**  
Image augmentation



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combination of parameters and derivative functions for a linear decision rule.

**Random forest.** A random forest (RF) is an extension of a DT, which essentially functions by combining multiple smaller trees to create a single giant tree.

**Gradient boosting.** Gradient boosting (GB) is an extension of a DT with a regression function to create the next iteration of the decision rule.

**Support vector machine.** A supervised ML approach uses linear or nonlinear relationships to differentiate various classifications. Image classification using a support vector machine (SVM) achieved a higher accuracy and better performance compared with DT and RF algorithms.<sup>34</sup>

**Logistic regression.** Logistic regression (LR) is a supervised ML approach that uses a linear relationship among different classes. Generally, LR and SVM operate similarly on classification algorithms. One of the main differences between LR and SVM is the mathematical approach they use. The LR approach is based on statistical properties, whereas the SVM approach is based on geometrical properties.

#### Model performance assessment

Standardization was imperative for the model performance analysis; accuracy, recall, precision, and  $F_1$  from each model were evaluated to measure the model performance. Multiple assessment is necessary to determine the prediction model with the most optimal performance. The implementation of multiple matrix calculation is needed as

it holds different advantages and disadvantages in interpreting a model performance, as there is no golden standard for calculating model performance.

#### Accuracy

accuracy

$$= \frac{(TP + TN)}{(TP + FP + TN + FN)} \quad (2)$$

Accuracy is a performance matrix that considers all conditional matrices as equal contributors. In the case of a balanced dataset, accuracy would yield a general report on the model performance on prediction tasks. However, in the case of an imbalanced dataset, further performance matrix measurements should be considered. The accuracy matrix is an early indicator to describe a

model's performance, yet it cannot be used as a benchmark for the overall model performance.

### Recall

$$\text{recall} = \text{sensitivity} = \frac{TP}{TP + FN} \quad (3)$$

An imbalanced dataset affects a model's performance in predicting binary or multiclass classification, and recall would be beneficial for this issue. Recall works on predicting a classification without caring whether the other classification is mislabeled. This means that the recall matrix is a way to ignore false classifications while keeping high chances of catching all targeted classifications.

### Precision

$$\begin{aligned} \text{precision} &= \text{specificity} \\ &= \frac{TP}{TP + FP} \end{aligned} \quad (4)$$

The precision matrix measures the model performance in classifying a

prediction as a correct positive prediction. Although the recall matrix disregards mislabeled classification, the precision matrix recognizes misclassifications and calculates the reliability of the model in making predictions.

### $F_1$ and weighted $F_1$

The  $F_1$ -score is used to compute a harmonic combination of precision and recall. If both precision and recall values are low, it will produce low  $F_1$ -scores, and vice versa. The  $F_1$  score complies with a specific prediction output, whereas the weighted  $F_1$ -score observes a proportionate prediction per classification over the total data sample.

$$F_1 = 2 \times \frac{\text{precision} \times \text{recall}}{\text{precision} + \text{recall}} \quad (5)$$

### Weighted $F_1$

$$= \sum \left( \frac{n_{\text{sample}}}{n_{\text{population}}} \times F_{1_{\text{sample}}} \right) \quad (6)$$

### Result

Our research study used AI to predict an embryo's ploidy status by using an

augmented image while maintaining the original embryo information. Subsequently, we conducted an assessment to identify models with the highest performance as presented in 2 case study comparisons.

Table 1 shows that the euploid-aneuploid classification (case study 2) was distinguished remarkably compared with the euploid-aneuploid-mosaic classification (case study 1). Low accuracy scores in case study 1 indicated that almost all of the models did not achieve the appropriate euploid predictive scores. In contrast, in case study 2, the models had fewer tasks while performing binary classification, which led to a superior model performance as it reduced the classification option and increased the probability of prediction.

The top 2 models from case study 2 achieved significant accuracy scores of 0.70 and 0.74 using DT and GB algorithms, respectively. Table 2 shows that the GB model has a lower precision matrix with a higher  $F_1$ -score matrix for predicting aneuploid embryos. Figure 4 shows the receiver operating characteristic (ROC) curve and precision-recall graph, with the GB algorithm slightly

**TABLE 1**  
**Model performance**

No.	Model	Accuracy	Recall		
			Euploid predicted value	Aneuploid predictive value	Mosaic predicted value
Case study 1					
1	DenseNet121 (SVM)	0.42	0.05	0.75	0.60
2	VGG16 (CNN)	0.43	0.07	0.78	0.46
3	DT (HOG-PCA256)	0.40	0.07	0.69	0.23
4	Logistic regression (HOG-PCA256)	0.39	0.40	0.35	0.44
5	Random forest (HOG-PCA256)	0.41	0.02	0.55	0.35
Case study 2					
6	DenseNet121 (CNN)	0.58	0.14	1.00	—
7	DenseNet121 (SVM)	0.56	0.00	1.00	—
8	DenseNet169 (CNN)	0.44	0.07	1.00	—
9	DT (HOG-PCA256) <sup>a</sup>	0.70 <sup>a</sup>	0.50 <sup>a</sup>	0.75 <sup>a</sup>	—
10	Gradient boosting (HOG-PCA256) <sup>a</sup>	0.74 <sup>a</sup>	0.33 <sup>a</sup>	0.84 <sup>a</sup>	—

CNN, convolutional neural network; HOG, histogram of oriented gradient; SVM, support vector machine; PCA, Principal Component Analysis.

<sup>a</sup> Top 2 models from recall & accuracy model assessment.

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**TABLE 2**  
**Model performance**

No.	Model	Prediction	Precision	F <sub>1</sub> -score	Weighted F <sub>1</sub> -score
1	Decision tree (HOG-PCA256)	Aneuploid	0.85	0.80	0.72
		Euploid	0.35	0.41	
2	Gradient boosting (HOG-PCA256) <sup>a</sup>	Aneuploid	0.83 <sup>a</sup>	0.83 <sup>a</sup>	0.73 <sup>a</sup>
		Euploid	0.35 <sup>a</sup>	0.34 <sup>a</sup>	

<sup>21</sup> HOG, histogram of oriented gradient; PCA, Principal Component Analysis.

<sup>a</sup> Top model performance.

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having a higher area under the curve (AUC) than the DT algorithm. The AUC calculates the region under the curve, with greater value yielding a better general model performance. The ROC curve observes the relationship between the true positive rate (sensitivity) and the false positive rate (1-specificity), and the precision-recall graph observes the relationship between precision and recall.

In developing prediction models with class imbalance, multiple matrix analysis was considered. A high confidence level for the prediction is important.

Here, the most robust prediction model, based on recall, precision, and

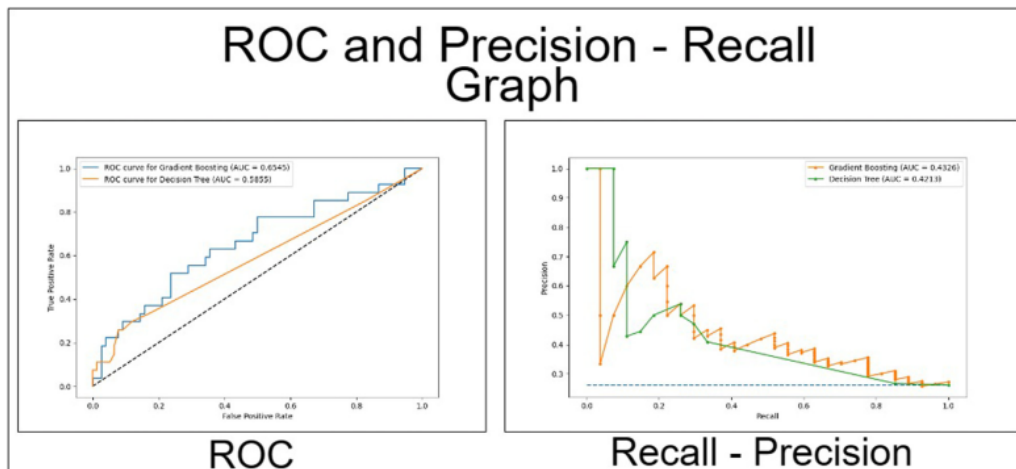
F<sub>1</sub>-score for predicting aneuploid embryos, was developed using the GB algorithm and featured extraction using the HOG and then followed by the PCA. An optimal model should be able to classify different classes with similar accuracy, precision, and recall. In contrast, the performance of our model was still imbalanced with a bias toward one of the classifications. This model could be used to predict an aneuploid embryo, with a side note on the use of the model. When the model produces an euploid prediction, further tests should be performed to validate the real ploidy outcome. This approach will result in increasing the probability of finding a

euploid embryo while reducing the number of embryos going through the PGT-A test.

### Discussion

This study demonstrated the use of AI in providing an alternative noninvasive determination of embryo chromosomal ploidy status. Our model could differentiate morphologic differences between euploid and aneuploid-mosaic embryos with an overall accuracy of 0.74, precision of 0.83 for aneuploid precision, and aneuploid prediction value of 0.84. Computer-assisted technology has been around in the medical field to assist healthcare personnel during decision-making to proceed further with treatment. In our research, we observed that a recall value higher than 0.90 was caused by the overfitting of the model. Overfitting can be caused primarily by insufficient data, model complexity, or both, further exacerbating the effects. Although a recall value close to 0.00 was caused by the underfitting of the model because of an imbalanced and low dataset, a low and imbalanced data might cause a biased classification on account of the lesser amount of euploid

**FIGURE 4**  
**ROC and precision—recall graph**



ROC, receiver operating characteristic.

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embryos compared with mosaic and aneuploid embryos.

A previous study by Chavez-Badiola et al<sup>35</sup> has demonstrated the possibility of using an AI model to predict embryo ploidy status with an accuracy of 0.70, an euploid (positive) predictive value of 0.79, and an aneuploid (negative) predictive value of 0.66. The datasets used were embryo images with known ploidy and implantation status, split into 2 groups, that is, euploid and/or successful implantation and aneuploid and/or implantation failure.

VerMilyea et al<sup>23</sup> proposed an AI model to predict embryo viability based on Gardner scoring. The classification was split between viable and nonviable embryos with a Gardner score of 50% as the benchmark.<sup>36</sup> A similar methodology was adopted by Diakiw et al<sup>37</sup> to predict the ploidy status with adjustments on VerMilyea's approach for image preprocessing. Of note, 15,192 embryo images with known ploidy status were fed to the CNN to create a prediction model with multiple embryo classifications. Low mosaic embryos were grouped as euploid, and high mosaic embryos were grouped as aneuploid. The AI model did not specifically differentiate embryo ploidy. Diakiw et al's<sup>37</sup> AI model had an overall accuracy of 65.4% and a sensitivity of 97.3%.

Barnes et al<sup>38</sup> combined the embryo parameters to identify the most optimal model performance. Model parameters of the image, maternal age, morphokinetics, and Blastocysts (BL) score yielded the most ideal model performance with a higher AUC value of 0.7614 and a lower accuracy of 69.68%, compared with a model with similar parameters, which excluded morphokinetics, obtaining a lower AUC of 0.7558 and a higher accuracy of 70.23%.

Currently, Berntsen et al<sup>39</sup> used the greatest number of 115,832 embryo datasets with known implantation data or known fetal heartbeat or known ploidy status for AI-based prediction modeling. Eventually, the datasets were classified into 2 categories: fetal heartbeat positive and fetal heartbeat negative (FH-). They achieved an astonishing result, an AUC of 0.95

when multivariables were calculated, and the discarded embryos were pseudolabeled as FH-.

To date, noninvasive AI models are still inferior to invasive genetic testing in predicting an embryo's ploidy status. Further scrutiny on the possibility to use ML prediction models as an alternative to PGT-A is imperative. The end goal is to create a robust model with high confidence and accuracy in predicting the ploidy status of embryos. Although the state-of-the-art technology for prediction models is an ongoing development, existing models could be modified and enhanced to perform specific prediction tasks.

### Conclusion

We have developed a computer-assisted ML model that noninvasively predicts ploidy status. Particularly, our model has the ability to predict aneuploid embryos with high confidence levels. In contrast, our model lacks the capacity to classify euploid embryos. Possessing a 1-sided classification comes with the advantage of being able to draw conclusions about a specific class and disregard the other classification. Ultimately, our result has significantly proven the model's ability to predict ploidy status by using day 5 or 6 embryo image features with a minimum dataset. In our study, the embryo dataset, a major key aspect in constructing the ML model, was imbalanced, which influenced our model performance significantly. To date, the efficacy of PGT-A in determining the true complete chromosome status is unmatched by any noninvasive ML approaches. AI in the medical field would gradually advance with the continuous growth of available datasets to train the model. Prospectively, the study authors would continue to gather available datasets, minimize the gap between imbalanced classes, and conduct an external validation process. ■

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### Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j.xagr.2023.100209.

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